

epiqdepth

With decades of experience administering some of the largest and most complex securities class action settlements, Epiq is distinctively situated to ensure a seamless, on-time and on-budget administration.

Securities settlements are unique. Experience handling complex financial data is critical as is high-security around sensitive financial data.

What we offer

Deep relationships and resources. Our seasoned, committed and highly responsive staff includes attorneys with securities class action experience, business analysts with close working relationships with the larger brokers/nominees, and project managers with a collective 100+ years of experience in settlement administration.

Robust security. Our information security function utilizes ISO 27001/2, NIST, FISMA, multiple international privacy regulations, and other control frameworks to ensure controls are in place and effective beyond a singular program. All client data is housed in at least a Tier 3 SSAE 16/SOC certified co-located data center within private, locked cages. Internal and third-party monitoring is in place to ensure consistent operation of these structures and processes.

Unsurpassed quality. Quality controls and checks and balances are built into every stage of each administration. By ensuring accuracy from notice disbursement through claims processing, and performing rigorous quality control procedures prior to fund distribution, Epiq ensures that accurate awards are sent to investors.

People. Partnership. Performance.

epiqglobal.com

How we deliver

Plan. Expert consultants partner with clients to develop a comprehensive project plan, define repeatable procedures and assemble a dedicated case team that meets project requirements.

Execute. As the plan is implemented, you have immediate access to your dedicated Epiq team leader. We are fully staffed with a quality control team to ensure conformity with all relevant court orders, plans of allocation and settlement agreements.

Report. We provide the highest level of efficient client service and transparency and provide timely, accurate project reports. Intelligent importing and data validation processes flag deficient claims upfront, so no time is wasted processing invalid claims. We carefully monitor timelines to ensure that every stage of claims processing runs on schedule.

Securities Settlement Experience

Representative cases

Securities and Exchange Commission v. American International Group, Inc.: More than 2 million notices mailed; over 500,000 claims processed; 419 different securities; approximately 400,000 payments sent; more than \$920 million disbursed.

Merck/Schering Securities Litigations (Vytorin): \$688 million combined settlements; approximately 1.5 million notices mailed; more than 400,000 claims processed; common stock, preferred stock, call options, put options; more than \$530 million disbursed to injured investors.

General Motors Securities Litigation: \$303 million settlement; more than 1.8 million notices mailed; more than 400,000 claims processed; 32 eligible securities; approximately \$260 million disbursed.

Oppenheimer Municipal Bond Fund Settlements: Six combined settlements totaling \$89.5 million; approximately 650,000 notices mailed (including more than 460,000 pre-populated claim forms); 19 share classes across six mutual funds; over \$57 million disbursed.

WorldCom Securities Settlement: \$6.19 billion settlement involving 14 separate settlements; four separate pools of settlement funds; over 40 eligible securities; notice materials to roughly five million people on three separate occurrences.

Royal Ahold Securities: Multinational, \$1.1 billion settlement; nearly 250,000 claims received and processed from over 100 countries; notice mailed to 24 countries; claim packets translated into 16 languages; hundreds of thousands of payments processed through the Dutch Equens system.

In the Matter of Oppenheimer Funds, Inc. and Oppenheimer Funds Distributor, Inc.: SEC Administrative Proceeding with a \$35.5 million Fair Fund; approximately 397,000 notices mailed; over 322,000 claims processed; over \$34 million disbursed to approximately 157,000 eligible claimants.

Tyco International Ltd. Securities Litigation: \$3.2 billion settlement involving common stock; 35 debt securities, put and call options; more than 480,000 claims processed.

In re Bank of New York Mellon Corp. FX Securities Action: \$180 million settlement; approximately 963,000 notices mailed; more than 350,000 claims processed.

Dahl v Bain Capital Partners / Private Equity Litigation: \$590.5 million combined settlements with multiple defending companies and securities; approximately 519,000 notices mailed; more than 124,000 claims processed; over \$385 million disbursed.

In re Merck & Co., Inc. Securities, Derivative & "Erisa" Litigation (Vioxx): Over \$1 billion settlement; approximately 1,900,000 notices mailed. Over 400,000 claims processed; order approving distribution entered on 9/13/18.

Epiq is trusted by the most prominent securities class action firms including:

Barrack Rodos & Bacine
Berger & Montague, P.C.
Berman Devalerio PC
Bernstein Litowitz Berger & Grossmann LLP
Bleichmar Fonti & Auld LLP
Brower Piven, PC
Cohen Milstein Sellers & Toll, PLLC
Entwistle & Cappucci LLP
Girard Gibbs LLP
Glancy Prongay & Murray LLP
Grant & Eisenhofer, PA

Hagens Berman Sobol Shapiro LLP
Kahn Swick & Foti LLC
Kaplan Fox & Kilsheimer LLP
Kessler Topaz Meltzer & Check LLP
Kohn Swift & Graf PC
Labaton Sucharow LLP
Levi & Korsinsky LLP
Lowenstein Sandler PC
Milberg LLP
Motley Rice LLC
Newman Ferrara LLP
Nix Patterson & Roach LLP

Pomerantz LLP
Robbins Geller Rudman & Dowd LLP
Robins Kaplan LLP
The Rosen Law Firm, PA
Saxena White P.A.
Scott + Scott LLP
Spector Roseman & Kodroff, PC
Stull, Stull & Brody
United States Securities & Exchange Commission
Wolf Popper LLP

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